

## MEMORANDUM

TO: File No. S7-04-23

FROM: Parisa Haghshenas – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Representatives from the American Bankers Association

DATE: August 31, 2023

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On August 31, 2023, Parisa Haghshenas, Brandon Hill, and Diem-Mi Lu met telephonically with the following individuals:

- Ananda Radhakrishnan – American Bankers Association
- Alison Touhey – American Bankers Association
- Ananda Radhakrishnan – American Bankers Association
- Tim Keehan – American Bankers Association
- Katie Collard – Bank Policy Institute
- Tabitha Edgens – Bank Policy Institute
- Sean Campbell – Financial Services Forum
- Benjamin Curran – BNY Mellon
- David Keohane – State Street
- Christopher Truso – US Bank
- Alex Dockx – J.P. Morgan Chase
- Debbie Toennies – J.P. Morgan Chase
- Kelly Dibble – Northern Trust
- Rebecca Simmons – Sullivan & Cromwell

Among the topics discussed were the Commission’s proposed rule on Safeguarding Advisory Client Assets.