

MEMORANDUM

To: File No. S7-04-23

From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Tyrone Ross and Mike Venuto

On 11 August, 2023, Commissioner Hester Peirce and William Middlebrooks and Sumeera Younis, Counsels to Commissioner Peirce, met with Tyrone Ross and Mike Venuto of Title Financial:

The conversation touched upon the Commission's proposed rule on:

- *Safeguarding Advisory Client Assets*