

MEMORANDUM

To: File No. S7-04-23

From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of JP Morgan Chase (JPMC).

On 3 August, 2023, Commissioner Hester Peirce and William Middlebrooks and Sumeera Younis, Counsels to Commissioner Peirce, met with the following JPMC representatives:

- Teresa Heitsenrether, EVP & Global Head of securities Services
- Troy Rohrbaugh, EVP & Head Global Markets
- Debbie A. Toennies, Managing Director, Global Head Corporate and Investment Bank – Regulatory Affairs
- Mara L. Shreck, Managing Director, Global Head – JPMorgan Asset and Wealth Management Regulatory Affairs

The conversation touched upon the Commission's proposed rule on:

- *Safeguarding Advisory Client Assets*