

To: File No.: S7-04-23
From: Office of Public Engagement
Date: 6/26/23
Re: Meeting with Managed Funds Association

On June 26, 2023, Chair Gensler and SEC staff met with Managed Funds Association (MFA) to discuss the Safeguarding Advisory Client Assets proposal.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Heather Slavkin Corzo, Director of Policy
- William Birdthistle, Director of the Division of Investment Management
- Sarah ten Siethoff, Deputy Director of the Division of Investment Management
- Melissa Harke, Assistant Director, Office of Investment Adviser Regulation, Division of Investment Management
- Corey Frayer, Senior Adviser
- Jay Krawitz, Investment Management Counsel

Representatives from MFA included:

- Bryan Corbett, President and Chief Executive Officer, MFA
- Jennifer Han, Chief Counsel and Head of Global Regulatory Affairs, MFA
- Matthew Daigler, Vice President and Senior Counsel, MFA
- Rachel Grand, Vice President and Senior Counsel, MFA
- Aaron Friedman, Deputy U.S. Head of Government and Regulatory Policy, Citadel
- Daniel Gutin, General Counsel & Chief Compliance Officer, Brinley Partners, LP
- Arthur Melkonian, Chief Operating Officer, Equinox Partners