

## MEMORANDUM

**To:** File No. S7-04-23  
**From:** Ammon Simon, Counsel to Commissioner Hester M. Peirce  
**Re:** Meeting with State Street

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On May 1, 2023, Commissioner Hester Peirce and Ammon Simon, Counsel to Commissioner Peirce, met with the following individuals from State Street:

- John Sweeney, Interim Head of Digital Assets
- Justin McCormack, SVP, Legal
- Steven Patterson, Managing Director of Government Affairs
- Joe Barry, SVP Regulatory, Industry and Government affairs
- Steve Patterson, Managing Director of Public Policy and Government Affairs

The topics discussed included the Commission-proposed rule, “Custody: Safeguarding Advisory Client Assets.”