

MEMORANDUM

TO: File nos. S7-04-23, S7-02-22

FROM: Samantha Ostrom
Policy Counsel, Office of the Chair

RE: Bank of New York Mellon

DATE: June 22, 2023

On June 22, 2023, Samantha Ostrom from the Office of the Chair, Melissa Rovers Harke from the Division of Investment Management and Eric Juzenas from the Division of Trading and Markets met with the following representatives of the Bank of New York Mellon:

- Caroline Butler
- Jennifer Xi
- Denise Rosenhaft

The participants discussed the Commission's proposal relating to safeguarding advisory client assets and the Commission's supplemental proposal to amend Exchange Act Rule 3b-16 regarding the definition of "exchange."