

MEMORANDUM

March 15, 2023

TO: File Number S7-04-23
FROM: Jacob D. Krawitz
Policy Counsel, Office of the Chair
RE: Meeting with BNY Mellon

On March 15, 2023, the staff members listed below met with the following representatives from BNY Mellon

SEC participants:

Corey Frayer
Jacob Krawitz
Samantha Ostrom
Michael Gaw
Natasha Guinan

BNY Mellon Participants:

Andrew Bernstein
Benjamin Curran
Charlie Yeh
Ellie Renshaw
Fernando Costa
Hadley Stern
Huyette Spring
Jennifer Bang
Joel Alfonso
Jon Blattmachr
Katey Neate
Kevin Maloy
Kurtis Kurminsky
Michael Rogers
Myles Daly
Rebecca Sood
Ryan Orr
Talia Klein

The participants discussed the Commission's proposal relating to Safeguarding Advisory Client Asset.