

To: File No.: S7-04-23
From: Office of Public Engagement
Date: 3/6/23
Re: Meeting with Independent Community Bankers of America (ICBA)

On March 6, 2023, Chair Gensler and SEC staff met with Independent Community Bankers of America (ICBA) to discuss various matters pertaining to the Safeguarding Advisory Client Assets proposal, including matters related to crypto assets.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- William Birdthistle, Director of the Division of Investment Management
- Sarah ten Siethoff, Deputy Director of the Division of Investment Management
- Melissa Harke, Assistant Director of the Office of Investment Adviser Regulation, Division of Investment Management
- Chris Staley, Branch Chief, Division of Investment Management
- Corey Frayer, Senior Advisor
- Jay Krawitz, Investment Management Counsel
- Samantha Ostrom, Policy Counsel

Representatives from ICBA included:

- Rebeca Romero Rainey, President & Chief Executive Officer
- Anne Balcer, Senior Executive Vice President, Chief of Government Relations and Public Policy
- Chris Cole, Executive Vice President, Senior Regulatory Counsel
- Brian Laverdure, Vice President, Payments and Technology Policy
- Mickey Marshall, Associate Vice President, Regulatory Counsel