

## MEMORANDUM

**TO:** File No. S7-04-22

**FROM:** David Joire,  
Senior Special Counsel, Division of Investment Management

**RE:** Videoconference with Representatives of the Investment Company Institute  
("ICI")

**DATE:** May 25, 2022

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On May 24, 2022, staff from the U.S. Securities and Exchange Commission ("SEC") held a videoconference with representatives of the ICI.

Participants included SEC staff from the Division of Investment Management: Sarah ten Siethoff (Deputy Director); Jennifer Porter (Senior Policy Adviser); Brian Johnson (Assistant Director); David Joire (Senior Special Counsel); Amanda Wagner (Senior Special Counsel); Christopher Staley (Branch Chief); Juliet Han (Senior Counsel); Thomas Strumpf (Senior Counsel); and Y. Rachel Kuo (Senior Counsel); as well as representatives from the ICI:

- Michael Gozzillo, – VanEck (Chief Compliance Officer)
- Michael Catlin – Capital Group Companies (Senior Vice President, Chief Information Security Officer, and Head of Technology Services)
- Justin Rainey – UMB Financial Services (Chief Information Security Officer)
- Susan Olson – ICI (General Counsel)
- Tamara Salmon – ICI (Associate General Counsel)
- Peter Salmon – ICI (Senior Director, Technology & Cybersecurity)

The participants discussed the SEC's proposal titled "Cybersecurity Risk Management for Investment Advisers, Registered Investment Companies, and Business Development Companies," set forth in Release Nos. 33-11028; 34-94197; IA-5956; IC-34497 (Feb. 9, 2022).