

MEMORANDUM

TO: File no. S7-04-22

FROM: Jennifer Songer
Policy Counsel, Office of the Chair

RE: Meeting with the Investment Adviser Association (the “IAA”)

DATE: February 9, 2022

On February 9, 2022, Gary Gensler, Chair; Jessica Wachter, Chief Economist; Keo Chea, Director of Public Engagement; and Jennifer Songer, Policy Counsel to the Chair, met with the following representatives of the IAA:

- Karen Barr, IAA President & CEO
- Gail Bernstein, IAA General Counsel
- Laura Grossman, IAA Associate General Counsel
- William Nelson, IAA Associate General Counsel
- Scot Draeger, President & General Counsel, R.M. Davis
- Laura Drynan, Partner, Bourgeon Capital Management

Among other things, the participants discussed cybersecurity for advisers.