MEMORANDUM

September 20, 2022

TO: File Nos. S7-03-22; S7-10-22

FROM: Office of Commissioner Mark T. Uyeda

RE: Telephone Call with Professor Joseph A. Grundfest

On September 20, 2022 Commissioner Mark T. Uyeda had a telephone call with Professor Joseph A. Grundfest, the William A. Franke Professor of Law and Business at Stanford Law School.

The participants discussed Professor Grundfest's comment letters filed in connection with the proposed rules regarding:

- Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews
- The Enhancement and Standardization of Climate-Related Disclosures for Investors