

## MEMORANDUM

**To:** File No. S7-03-22

**From:** William Middlebrooks, Counsel to Commissioner Hester M. Peirce

**Re:** Meeting with Members of the Loan Syndications and Trading Association (LSTA)

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On 23 June, 2022, Commissioner Hester Peirce, along with Richard Gabbert, Liz Blase, and William Middlebrooks, Counsels to Commissioner Peirce, met with the following members from LSTA:

- Doug Nappi, Principal, Federal Hall Policy Advisers
- Yoon-Young Lee, Partner, WilmerHale
- Elliot Ganz, General Counsel & Co-Head Public Policy Group, Loan Syndications and Trading Association
- Lee Shaiman, Executive Director, LSTA
- Amy Doberman, Partner, WilmerHale

Among the topics discussed was the Commission's rulemaking proposal on:

- *Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews, set forth in Release No. IA-5955; File No. S7-03-22 (February 9, 2022)*