

MEMORANDUM

TO: File No. S7-03-20

FROM: Josh Nimmo
Office of Market Supervision, Division of Trading and Markets

DATE: August 12, 2020

SUBJECT: Meeting with Activ Financial

On July 30, 2020, Brett Redfearn, David Saltiel, Kelly Riley, Ted Uliassi, Andrea Orr, Peggy Sullivan, Dmitry Bulkin, Yvonne Fraticelli, Gerald Citera, Leigh Duffy, Steve Kuan, and Joshua Nimmo, all from the Division of Trading and Markets, and Selvin Akkus Clemens, John Ritter, Amy Edwards, and Paul Barton from the Division of Economic and Risk Analysis, had a phone call with representatives from Activ Financial:

- Frank Piasecki
- Steve J. McNeany
- James Boomer
- Bill Harts

Participants discussed the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.