

MEMORANDUM

TO: File No. S7-03-20

FROM: Josh Nimmo
Office of Market Supervision, Division of Trading and Markets

DATE: July 15, 2020

SUBJECT: Meeting with SIFMA

On July 13, 2020, Brett Redfearn, Kelly Riley, Ted Uliassi, Alex Jadin, Mark Donohue, David Liu, Peggy Sullivan, Dmitry Bulkin, Yvonne Fraticelli, Sara Hawkins, Leigh Duffy, Steve Kuan, and Joshua Nimmo, all from the Division of Trading and Markets, and Selvin Akkus Clemens and John Ritter from the Division of Economic and Risk Analysis, had a phone call with:

- Ellen Greene, SIFMA
- Tim Holmes, Vanguard
- Gregg Berman, Capital Group
- Ed Flynn, TD Ameritrade
- Debbie Toennies, JP Morgan
- Sapna Patel, Morgan Stanley
- Mike Masone, Citi
- Rich Steiner, RBC
- Tom Merritt, Virtu
- Joe Corcoran, SIFMA
- Rob Toomey, SIFMA
- Wes Althoff, SIFMA

Participants discussed the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.