

MEMORANDUM

To: File No. S7-03-20
From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce
Re: Telephone Call with Representatives of TD Ameritrade

On July 2, 2020, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, participated in a telephone call with the following representatives of TD Ameritrade:

- John Markle;
- Steve Quirk;
- JJ Kinahan;
- Ovi Montemayor;
- Ed Flynn;
- Shawn Cruz; and
- Joel Oswald.

Participants discussed, among other things, the Commission's proposed market data infrastructure rule.