

MEMORANDUM

To: File No. S7-03-20

From: Ben Bernstein
Counsel to Commissioner Elad Roisman

Re: Meeting with Representatives of TD Ameritrade

On June 30, 2020, Ben Bernstein spoke with the following representatives of TD Ameritrade:

- John Markle
- Steve Quirk
- JJ Kinahan
- Ovi Montemayor
- Ed Flynn
- Shawn Cruz
- Joel Oswald, Williams & Jensen

Among the topics discussed was the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.