

MEMORANDUM

To: File No. S7-03-20

From: Ben Bernstein
Counsel to Commissioner Elad Roisman

Re: Meeting with Representatives of SIFMA

On June 29, 2020, Commissioner Elad Roisman and Commissioner Hester Peirce, as well as Richard Gabbert, Counsel to Commissioner Peirce, and Ben Bernstein, Counsel to Commissioner Roisman, spoke with the following representatives of the Securities Industry and Financial Markets Association:

- Sapna Patel, Morgan Stanley
- Vlad Khandros, UBS
- Theodore R. Lazo, BIDS
- Chris Nielsen, Charles Schwab
- Gregg Berman, Citadel
- Michael Masone, Citi
- Diwa Cody, Goldman Sachs
- Debbie A Toennies, JP Morgan
- Rich Steiner, RBC
- Tim Warehime, TD Ameritrade
- Matt Billings, Two Sigma Securities
- Tim Holmes, Vanguard
- Ellen Greene, SIFMA
- Wes Althoff, SIFMA
- Rob Toomey, SIFMA
- Joe Corcoran, SIFMA

Among the topics discussed was the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.