

MEMORANDUM

To: File No. S7-03-20

From: Eric Juzenas
Counsel to Commissioner Allison Herren Lee
U.S. Securities and Exchange Commission

Date: June 29, 2020

Re: Meeting with RBC and others

June 29, 2020, Commissioner Allison Herren Lee, Adrien Anderson, and Eric Juzenas met with:

- Lisa Lynch, Managing Director, Global Trading, Wellington Management
- Mett Kinak, Head of Global Equity Market Structure and Electronic Trading, T. Rowe Price
- Jason Vedder, Director of Global Trading and Operations, Driehaus Capital Management
- Craig Hurl, Director of Global Equity Trading, Ontario Teachers' Pension Plan
- Clive Williams, Head of Global ETF Capital Markets, T. Rowe Price
- Peter Stutsman, Global Head of Equity Trading, The Capital Group
- Nola Kopfer, Director of Global Trading, MFS Investment Management
- Rich Steiner, Head, Client Advocacy and Market Innovation at RBC Capital Markets
- Shawn Maher, Managing Director, Regulatory and Government Affairs, RBC
- Matt Reed, Executive Director, RGA, RBC
- Danielle Barrett, Associate Director, RGA, RBC

The Commission's proposed rulemaking on Market Data Infrastructure were discussed.