

MEMORANDUM

TO: File No. S7-03-20

FROM: Josh Nimmo
Office of Market Supervision, Division of Trading and Markets

DATE: May 29, 2020

SUBJECT: Meeting with TD Ameritrade

On May 29, 2020, Brett Redfearn, Christian Sabella, David Shillman, John Roeser, Kelly Riley, Ted Uliassi, Andrea Orr, Richard Holley, Heidi Pilpel, David Saltiel, Peggy Sullivan, Daniel Gray, David Liu, Mark Donohue, Gerald Citera, Yvonne Fraticelli, Leigh Duffy, Steve Kuan, Elizabeth Badawy, and Joshua Nimmo, all from the Division of Trading and Markets, and Amy Edwards, Selvin Akkus Clemens, Mary-Louise Huth, and Pual Barton from the Division of Economic and Risk Analysis, had a phone call with:

TD Ameritrade:

- Steven Quirk
- Joel Oswald
- Edmund Flynn
- John Markle
- Shawn Cruz
- Christine Higgs
- JJ Kinahan
- Ovidio Montemayor Jr.

Participants discussed the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure, File No. S7-03-20.