

MEMORANDUM

To: File Nos. S7-03-20; 4-757

From: Elizabeth Blase, Ben Bernstein (Counsels to Commissioner Roisman)

Re: Meeting with RBC and Buy Side Representatives

On March 30, 2020, Commissioner Roisman, Elizabeth Blase, and Ben Bernstein met with the following representatives of the firms identified below:

- Shawn Maher, RBC
- Matt Reed, RBC
- Rich Steiner, RBC
- Danielle Barrett, RBC
- Jason Vedder, Driehaus Capital Management
- Craig Hurl, Ontario Teachers' Pension Plan
- Dan Royal, Janus Henderson Investors
- Mike Buek, Vanguard
- Jerry O'Reilly, Vanguard
- Michelle Louie, Vanguard
- Mett Kinak, T. Rowe Price

Among the topics discussed were the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to equity market data infrastructure (File No. S7-03-20) and the Commission's proposed order directing the exchanges and FINRA to submit a new NMS plan regarding consolidated equity market data (File No. 4-757).