

MEMORANDUM

To: Money Market Fund Reform Proposal File

From: Amanda Hollander Wagner
Senior Counsel, Division of Investment Management

Date: October 16, 2013

Re: Meeting with Representatives of BlackRock, Inc., Fidelity Investments, and Vanguard

On October 16, 2013, David Grim (Deputy Director, Division of Investment Management (“IM”)), Diane Blizzard (Associate Director, IM), Thoreau Bartmann (Branch Chief, IM), Brian McLaughlin Johnson (Senior Counsel, IM), Alpa Patel (Senior Counsel, IM), Jennifer Porter (Senior Counsel, IM), and Amanda Hollander Wagner (Senior Counsel, IM) met with the following representatives of BlackRock, Inc., Fidelity Investments, and Vanguard: Barbara Novick (Vice Chairman, BlackRock, Inc.), Kathryn Fulton (Managing Director, Government Relations and Public Policy, BlackRock, Inc.), James Febeo, Jr. (Senior Vice President, Fidelity Investments), Kevin Meagher (Senior Vice President, Fidelity Investments), and Jerry Golden (Head of Washington Office, Government Relations, Vanguard). Among other things, the parties discussed the Commission’s proposal on money market fund reform and the “Asset Management and Financial Stability” study authored by the Office of Financial Research of the U.S. Department of the Treasury.