

MEMORANDUM

To: Money Market Fund Reform Proposal File

From: Amanda Hollander Wagner
Senior Counsel, Division of Investment Management

Date: October 4, 2013

Re: Meeting with Representatives of Charles Schwab Investment Management, Inc.
and Charles Schwab & Co., Inc.

On October 4, 2013, David Grim (Deputy Director, Division of Investment Management (“IM”)), Diane Blizzard (Associate Director, IM), Sarah ten Siethoff (Senior Special Counsel, IM), Thoreau Bartmann (Branch Chief, IM), Brian McLaughlin Johnson (Senior Counsel, IM), and Amanda Hollander Wagner (Senior Counsel, IM) met with the following representatives of Charles Schwab Investment Management, Inc. and Charles Schwab & Co., Inc.: Marie A. Chandoha (President and CEO, Charles Schwab Investment Management, Inc.), Brett H. Wander (Chief Investment Officer, Fixed Income, Charles Schwab & Co., Inc.), Jeffrey T. Brown (Senior Vice President, Legislative and Regulatory Affairs, Charles Schwab & Co., Inc.), Scott Eckel (Vice President, Legislative and Regulatory Affairs, Charles Schwab & Co., Inc.), and Michael Townsend (Vice President, Legislative and Regulatory Affairs, Charles Schwab & Co., Inc.). Among other things, the parties discussed the Commission’s proposal on money market fund reform.