

To: File No.: S7-02-22
From: Office of Public Engagement
Date: 7/24/24
Re: Meeting with Bloomberg

On July 24, 2024, Chair Gensler and SEC staff met with Bloomberg to discuss, among other matters, the Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities proposal.

Members of the SEC included:

- Chair Gary Gensler
- Jessica Wachter, Chief Economist and Director of the Division of Economic and Risk Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets
- Eric Juzenas, Associate Director, Market Supervision, Division of Trading and Markets

Representatives from Bloomberg included:

- Greg Babyak, Global Head of Regulatory Affairs
- Nicholas Bean, Global Head of Electronic Trading
- William Troost, Deputy Head of Regulatory Affairs
- Gary Stone, Regulatory Analyst and Market Structure Strategist
- Jennifer Borzooyeh, Securities Counsel
- Irene Moyseyenko, Senior Regulatory Counsel – Derivatives & FX Trading Infrastructure
- Dan Vogel, Deputy General Counsel
- Dan Glatte, Chief Compliance Officer, Bloomberg SEF
- Derek Kleinbauer, Chief Executive Officer, Bloomberg Tradebook, & President, Bloomberg SEF
- Paul Kaplan, Global Head of Credit, Equities, and TRS