

## MEMORANDUM

TO: File No. S7-02-22

FROM: Vivian Hui  
Office of Market Supervision, Division of Trading and Markets  
U.S. Securities and Exchange Commission

DATE: May 22, 2024

SUBJECT: Meeting with Representatives from the Securities Industry and Financial Markets Association (“SIFMA”)

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On May 22, 2024, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a meeting with representatives from SIFMA. The SEC representatives were Haoxiang Zhu, David Shillman, Andrea Orr, Tyler Raimo, Yue Ding, Sharon Park, David Garcia, Eugene Hsia, Amir Katz, Megan Mitchell and Vivian Hui from the Division of Trading and Markets, and Jessica Wachter, Paul Barton, Zachary Kiefer, Parisa Mofakham, Caroline Schulte and Oliver Richard from the Division of Economic and Risk Analysis.

The SIFMA representatives were Gary Stone, Joseph Seidel, Rob Toomey, Aaron Friedman, Adam Kezsbom, Andrew Smith, Chris Killian, Ellen Greene, Gerald O'Hara, Greg Babyak, Joe Corcoran, Krista Ryan, Michael Masone, Ray Mosca, Sarah Sprague, Sebastian Krawczyk and Toks Oyebode.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of ‘Exchange’ and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062] and “Supplemental Information and Reopening of Comment Period for Amendments Regarding the Definition of ‘Exchange’” [Release No. 34-97309].