

To: File No.: S7-02-22
From: Office of Public Engagement
Date: 1/30/24
Re: Meeting with Bloomberg

On January 30, 2024, Chair Gensler and SEC staff met with Bloomberg to discuss the Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities proposal.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic Risk and Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets

Representatives from Bloomberg included:

- Greg Babyak, Global Head of Regulatory Affairs
- Gary Stone, Regulatory Analyst and Market Structure Strategist
- William Troost, Deputy Head of Regulatory Affairs