

To: File Nos.: S7-02-22, S7-30-22, S7-31-22, S7-32-22, S7-18-23
From: Office of Public Engagement
Date: 4/11/24
Re: Meeting with Nasdaq

On April 4, 2024, Chair Gensler and SEC staff met with Nasdaq to discuss the following rule proposals:

- Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders
- Order Competition Rule
- Regulation Best Execution
- Volume-Based Exchange Transaction Pricing for NMS Stocks

Nasdaq’s comments during the meeting were consistent with their comment letters on the respective proposals.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff

Representatives from Nasdaq included:

- Adena Friedman, President & CEO
- John Zecca, Executive Vice President & Global Chief Legal, Risk and Regulatory Officer