

MEMORANDUM

To: File Nos. S7-02-22 and S7-07-23

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Tradeweb

On July 27, 2023, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, participated in a meeting with representatives of Tradeweb and Rich Feuer Anderson. Participants from Rich Feuer Anderson were Jared Sawyer and John Anderson. Participants from Tradeweb were the following:

- Scott Zucker, Tradeweb, Chief Risk and Administrative Officer;
- Davi Shanmugham, Tradeweb, Global Head of Compliance;
- Jenn Keser, Tradeweb, Head of Market Structure & Regulation;

The participants discussed proposed amendments to Regulation SCI and proposed amendments to the definition of “exchange” in Exchange Act Rule 3b-16.