

To: File No.: S7-02-22  
From: Office of Public Engagement  
Date: 2/7/24  
Re: Meeting with BrokerTec

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On February 7, 2024, Chair Gensler and SEC staff met with BrokerTec to discuss the Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities proposal.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Jessica Wachter, Chief Economist and Director of the Division of Economic Risk and Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets

Representatives from BrokerTec included:

- Terry Duffy, Chairman & CEO, CME Group
- Jonathan Marcus, General Counsel, CME Group
- Tim McCourt, Global Head of Financial & OTC Products (includes Brokertec)
- John Edwards, Managing Director, BrokerTec
- JB Wakefield, Executive Director, BrokerTec
- Tim Elliott, Chief Regulatory Counsel, CME Group
- Anne Klein Gray, Associate Director, Government Relations, CME Group