

MEMORANDUM

TO: File No. S7-02-22

FROM: Tyler Raimo
Assistant Director
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: January 16, 2024

SUBJECT: Meeting with Representatives from Tradeweb Markets Inc. (“Tradeweb”)

On January 16, 2024, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a meeting with representatives from Tradeweb. The SEC representatives were Haoxiang Zhu, Roni Bergoffen, David Shillman, Eric Juzenas, Tyler Raimo, Yue Ding, Heidi Pilpel, Corey Frayer, Samantha Ostrom, and Sai Rao.

The Tradeweb representatives were Ben Harney, Tom Koonce, Elisabeth Kirby, and Devi Shanmugham.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of ‘Exchange’ and Alternative Trading Systems (ATs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062] and “Supplemental Information and Reopening of Comment Period for Amendments Regarding the Definition of ‘Exchange’” [Release No. 34-97309].