

MEMORANDUM

TO: File No. S7-02-22

FROM: Vivian Hui
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: November 7, 2023

SUBJECT: Meeting with Representatives from Symphony Communication Services, LLC
("Symphony")

On November 7, 2023, representatives from the U.S. Securities and Exchange Commission ("SEC") had a meeting with representatives from Symphony. The SEC representatives were David Shillman, Eric Juzenas, Tyler Raimo, Steven Despres, Amir Katz, Eugene Hsia, David Garcia, Matthew J. Cursio, Megan E. Mitchell, Joanne Kim and Vivian Hui from the Division of Trading and Markets.

The Symphony representatives were Corinna Mitchell, Michael DeJianne, Ayana Dow and Matt Kulkin.

The discussion concerned, among other things, the SEC proposal concerning "Amendments Regarding the Definition of 'Exchange' and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities" [Release No. 34-94062] and "Supplemental Information and Reopening of Comment Period for Amendments Regarding the Definition of 'Exchange'" [Release No. 34-97309].