

MEMORANDUM

TO: File No. S7-02-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of Tradeweb

On July 31, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of Tradeweb, including:

- Scott Zucker, Chief Risk and Administrative Officer
- Devi Shanmugham, Global Head of Compliance
- Liz Kirby, Head of U.S. Rates Strategy and Product Management
- Jenn Keser, Head of Market Structure and Regulation
- Ben Harney, RFA
- Tom Koonce, RFA

Among the topics discussed were the Commission's proposed Amendments Regarding the Definition of "Exchange" and Alternative Trading Systems (ATSs) that Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities (File No. S7-02-22).