

MEMORANDUM

To: File. No. S7-02-22.

From: Ammon Simon, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Uniswap Labs

On September 14, 2023, Commissioner Hester Peirce and Ammon Simon, Sumeera Younis, and Benjamin Vetter, Counsels to Commissioner Peirce, met with the following individuals:

- Don Verrilli, Partner, Munger, Tolles & Olson
- Marvin Ammori, Chief Legal Officer, Uniswap Labs
- Salman Banaei, Head of Policy, Uniswap Labs
- Christine Bae, Executive Assistant to the Chief Legal Officer, Uniswap Labs

The topics discussed included the Commission's proposal, *Amendments Regarding the Definition of "Exchange" and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities*, File. No. S7-02-22.