

MEMORANDUM

July 27, 2023

TO: File Nos.: S7-07-23 and S7-02-22

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Tradeweb Markets Inc. (“Tradeweb”)

On July 26, 2023, Commissioner Mark T. Uyeda and his Counsel Robert Fisher had a meeting with Tradeweb. The attendees met in-person. The Tradeweb representatives in attendance consisted of:

- Scott Zucker, Chief Risk and Administrative Officer
- Davi Shanmugham, Global Head of Compliance
- Jenn Keser, Head of Market Structure & Regulation
- John Anderson, Rich Feuer Anderson
- Jared Sawyer, Rich Feuer Anderson

Among other matters, the participants discussed Tradeweb’s comment letters on Amendments to Regulation Systems Compliance and Integrity Under the Securities Exchange Act of 1934, and Amendments to Exchange Act Rule 3b-16 Regarding the Definition of “Exchange”.