

MEMORANDUM

TO: File No. S7-02-22

FROM: Joanne Kim
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: July 26, 2023

SUBJECT: Meeting with Representatives from Tradeweb Markets Inc. (“Tradeweb”)

On July 26, 2023, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a meeting with representatives from Tradeweb. The SEC representatives were Haoxiang Zhu, David Saltiel, Roni Bergoffen, Jennifer Colihan, Yue Ding, David Shillman, Eric Juzenas, Tyler Raimo, Heidi Pilpel, Kelly Riley, Dan Gray, Lauren Yates, David Hsu, Steven Despres, Tom Eady, Steven Gibson, Erika Berg, Eugene Hsia, An Phan, Andrew Sherman, and Joanne Kim from the Division of Trading and Markets.

The Tradeweb representatives were Scott Zucker, Doug Friedman, Devi Shanmugham, Elisabeth Kirby, Jennifer Keser, Ben Harney, and Tom Koonce.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of ‘Exchange’ and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062] and “Supplemental Information and Reopening of Comment Period for Amendments Regarding the Definition of ‘Exchange’” [Release No. 34-97309].