

MEMORANDUM

TO: File Nos. S7-02-22

FROM: Claire O'Sullivan
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of MarketAxess

On April 26, 2023, Commissioner Crenshaw and Claire O'Sullivan spoke with CEO Chris Concannon, Executive Chairman Rick McVey, and General Counsel Scott Pintoff of MarketAxess, as well as Katelynn Bradley of Brownstein Hyatt Farber Schreck, LLP.

Among the topics discussed were the Commission's proposed Amendments to Exchange Act Rule 3b-16 Regarding the Definition of "Exchange"; Regulation ATS for ATSS That Trade U.S. Government Securities, NMS Stocks, and Other Securities; and Regulation SCI for ATSS That Trade U.S. Treasury Securities and Agency Securities,