

MEMORANDUM

TO: File No. S7-02-22

FROM: Tyler Raimo
Assistant Director
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: February 16, 2023

SUBJECT: Conference Call with Representatives from MarketAxess

On February 16, 2023, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with representatives from MarketAxess. The SEC representatives were Haoxiang Zhu, Tyler Raimo, Tom Eady, Yue Ding, Molly Kim, Eric Juzenas, Justin Pica, David Saltiel, and David Shillman from the Division of Trading and Markets.

The MarketAxess representatives were Rick McVey, Kathryn Sweeney, Chris Concannon, Scott Pintoff, and Katelyn Bradley.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062].