

MEMORANDUM

TO: File No. S7-02-22

FROM: Joanne Kim
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: August 22, 2022

SUBJECT: Conference Call with Representatives from JPMorgan Chase & Co. (“JPMorgan Chase”)

On August 19, 2022, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with representatives from JPMorgan Chase. The SEC representatives were Steven Despres, Yue Ding, Tom Eady, David Garcia, Eugene Hsia, Amir Katz, Katherine Lesker, Megan Mitchell, Tyler Raimo, Joanne Rutkowski, Shauna Sappington, and Joanne Kim from the Division of Trading and Markets. The JPMorgan Chase representatives were Mahlet Ayalew, Jeffrey Dinwoodie, Lauren Mullen, Toks Oyebode, and Gillian Warmflash.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062].