

MEMORANDUM

TO: File No. S7-02-22

FROM: Megan Mitchell
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: July 15, 2022

SUBJECT: Conference Call with Representatives from Tradeweb Markets (“Tradweb”)

On July 15, 2022, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with representatives from Tradeweb. The Tradeweb representatives were Elisabeth Kirby, Tom Koonce, Devi Shanmugham, and Scott Zucker. The SEC representatives were Haoxiang Zhu, Andrea Orr, David Saltiel, Marilyn Parker, Steven Despres, Steven Gibson, Thomas McGowan, Tyler Raimo, David Garcia, Eugene Hsia, Amir Katz, Megan Mitchell, Jessica Wachter, Paul Barton, Michael Walz, and Seung Won Woo.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062].