

MEMORANDUM

TO: File No. S7-02-22

FROM: Jill S. Henderson, Senior Counsel to the Director and Chief Economist
Division of Economic and Risk Analysis

RE: Meeting with MarketAxess

DATE: July 21, 2022

On July 12, 2022, staff from the Division of Economic and Risk Analysis and the Division of Trading and Markets met with MarketAxess. Topics discussed included the Commission's proposal on "Amendments Regarding the Definition of "Exchange" and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities" [Release No. 34-94062].

Attendees from the SEC included Jessica Wachter, Oliver Richard, Michael Walz, Juan Echeverri, John Ritter, Lauren Moore, Seung Won Woo, Burt Porter, Woodrow Johnson, and Jill Henderson (Division of Economic and Risk Analysis) and Tyler Raimo, Jeff Mooney, David Garcia, Eugene Hsia, Moshe Rothman, Elizabeth Fitzgerald, Steven Gibson, Marilyn Parker, An Phan, Megan Mitchell, Molly Kim, Sharon Park, and Heidi Pilpel (Division of Trading and Markets).

Attendees from MarketAxess were Rick McVey, Chris Concannon, Julien Alexandre, Scott Pintoff, and Katelynn Bradley (BHFS).