

MEMORANDUM

TO: File No. S7-02-22

FROM: Jill S. Henderson, Senior Counsel to the Director and Chief Economist
Division of Economic and Risk Analysis

RE: Meeting with Virtu

DATE: July 21, 2022

On July 13, 2022, staff from the Division of Economic and Risk Analysis and the Division of Trading and Markets met with Virtu. Topics discussed included the Commission’s proposal on “Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062].

Attendees from the SEC included Jessica Wachter, Oliver Richard, Paul Barton, Michael Walz, Amy Edwards, Woodrow Johnson, Lauren Moore, and Jill Henderson (Division of Economic and Risk Analysis) and Tyler Raimo, Eugene Hsia, and David Garcia (Division of Trading and Markets).

Attendees from Virtu were Andrew Smith, Alan Sobba, Thomas Merritt, and Katelynn Bradley (BHFS).