

MEMORANDUM

TO: File No. S7-02-22

FROM: Yue Ding, Counsel to Commissioner Lee

RE: Meeting with Representatives from the Securities Industry and Financial Markets Association

DATE: June 13, 2022

On June 13, 2022, Commissioner Lee, Andrew Feller, and Yue Ding held a videoconference with:

- Michael Masone, Citi
- Krista Ryan, Fidelity
- Wade Dougherty, Goldman Sachs
- Sarah Sprague, Morgan Stanley
- Thomas M. Merritt, Virtu
- Andrew Smith, Virtu,
- Ellen Greene, SIFMA
- Joseph Corcoran, SIFMA
- Robert Toomey, SIFMA
- Chris Killian, SIFMA
- William Thum, SIFMA

The topics discussed were the Commission's proposed amendments to Exchange Act Rule 3b-16 regarding the definition of "exchange" and to Regulation ATS for ATSs that trade U.S. government securities, NMS stocks, and other securities.