

MEMORANDUM

TO: File No. S7-02-22

FROM: Claire O'Sullivan
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of the Global Legal Entity Identifier Foundation
(GLEIF)

On Thursday February 3, 2022, Commissioner Caroline Crenshaw and Claire O'Sullivan spoke with Karla McKenna and Peter Warms of GLEIF. Among the topics discussed was the Commission's proposed Amendments to Exchange Act Rule 3b-16 Regarding the Definition of "Exchange"; Regulation ATS for ATSS That Trade U.S. Government Securities, NMS Stocks, and Other Securities; Regulation SCI for ATSS That Trade U.S. Treasury Securities and Agency Securities, File No. S7-02-22.