

MEMORANDUM

TO: File No. S7-02-22

FROM: Amir Katz
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: April 8, 2022

SUBJECT: Conference Call with Representatives from BlackRock

On April 7, 2022, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with representatives from BlackRock. The SEC representatives were David Saltiel, Tyler Raimo, Tom Eady, David Dimitriou, Steven Gibson, Matthew Cursio, David Garcia, Eugene Hsia, Amir Katz, Megan Mitchell, and Joanne Kim from the Division of Trading and Markets. The BlackRock representatives were Samantha DeZur, Hubert De Jesus, Richard Ostrander, and Michael Winnike.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062].