

MEMORANDUM

To: File No. S7-02-22

From: Josh Nimmo
Division of Trading and Markets

Re: Webex Meeting with HMA Representatives

Date: March 30, 2022

On March 28, 2022, Haoxiang Zhu, David Saltiel, Roni Bergoffen, Meredith Macvicar, and Josh Nimmo, all from the Division of Trading and Markets, participated in a meeting conducted by Webex with the following representatives of Healthy Markets Association (“HMA”) and the identified firms:

- Ty Gellasch (HMA)
- Chris Nagy (HMA)
- Joel Engelhard (HMA)
- Michael Canning (LXR Group)
- James Andrus (Calpers)
- Greg Babyak (Bloomberg)
- Katherine Comley (MIAX)
- Eric Stockland (BMO)
- Adam Goldberg (HIS/S&P)
- Vlad Khandros (Trumid)
- Michael Ledwith (Quantitative Investment Management)
- John Ramsey (IEX)
- Peter Sparby (MGEX)

Among the topics discussed was the proposal concerning “Amendments to Exchange Act Rule 3b-16 Regarding the Definition of “Exchange”; Regulation ATS for ATSS That Trade U.S. Government Securities, NMS Stocks, and Other Securities; Regulation SCI for ATSS That Trade U.S. Treasury Securities and Agency Securities” [Release No. 34-94062].