

MEMORANDUM

TO: File No. S7-02-22

FROM: Joanne Kim
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: March 30, 2022

SUBJECT: Conference Call with Staff of the Dutch Authority for the Financial Markets

On March 29, 2022, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with staff of the Dutch Authority for the Financial Markets. The SEC representatives were Tyler Raimo, Kelly Riley, Matthew Cursio, David Garcia, Megan Mitchell, and Joanne Kim from the Division of Trading and Markets and Stephen Benham from the Office of International Affairs.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATSS) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062].