

MEMORANDUM

TO: File No. S7-02-22

FROM: Tyler Raimo
Assistant Director
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: March 22, 2022

SUBJECT: Conference Call with Staff of the Financial Conduct Authority

On February 7, 2022, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with staff of the Financial Conduct Authority. The SEC representatives were Tyler Raimo, Kelly Riley, Matthew Cursio, Megan Mitchell, David Shillman, David Garcia, Amir Katz, and Joanne Kim from the Division of Trading and Markets and Stephen Benham and Jonathan Balcom from the Office of International Affairs.

The discussion concerned, among other things, the SEC proposal concerning “Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities” [Release No. 34-94062].