

MEMORANDUM

TO: File No. S7-02-22

FROM: Matthew Cursio
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: February 3, 2022

SUBJECT: Call with Representatives from ICE Bonds Securities Corporation

On February 2, 2022, a representative from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with representatives from ICE Bonds Securities Corporation (“ICE Bonds”). The SEC representative was Matthew Cursio from the Division of Trading and Markets. The ICE Bonds representatives were Robert Laorno and Michael McMaster.

The discussion concerned, among other things, the SEC proposal concerning “Amendments to Exchange Act Rule 3b-16 Regarding the Definition of “Exchange”; Regulation ATS for ATSS That Trade U.S. Government Securities, NMS Stocks, and Other Securities; Regulation SCI for ATSS That Trade U.S. Treasury Securities and Agency Securities” [Release No. 34-94062].