

MEMORANDUM

TO: File No. S7-02-22

FROM: Joanne Kim
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: February 3, 2022

SUBJECT: Conference Call with Representatives from Bloomberg Intelligence

On February 3, 2022, representatives from the U.S. Securities and Exchange Commission (“SEC”) had a conference call with representatives from Bloomberg Intelligence. The SEC representatives were Tyler Raimo, Tom Eady, Dmitry Bulkin, Matthew Cursio, David Garcia, Amir Katz, Megan Mitchell, and Joanne Kim from the Division of Trading and Markets. The Bloomberg Intelligence representatives were Larry Tabb and Sean Savage.

The discussion concerned, among other things, the SEC proposal concerning “Amendments to Exchange Act Rule 3b-16 Regarding the Definition of “Exchange”; Regulation ATS for ATSS That Trade U.S. Government Securities, NMS Stocks, and Other Securities; Regulation SCI for ATSS That Trade U.S. Treasury Securities and Agency Securities” [Release No. 34-94062].