

## MEMORANDUM

TO: File No. S7-01-13

FROM: Dhawal Sharma

RE: Proposed Regulation Systems Compliance and Integrity

DATE: June 3, 2014

---

On June 2, 2014, staff from the Commission's Division of Trading and Markets (James R. Burns, David Shillman, Elizabeth Badawy, Yue Ding, David Garcia, Sara Hawkins, David Liu, Heidi Pilpel, and Dhawal Sharma) participated in a meeting with representatives from the Securities Industry and Financial Markets Association (Aidan Butler, Matt Lavicka, Deborah Mittelman, Thomas Price, and Karl Schimmeck). The participants discussed proposed Regulation Systems Compliance and Integrity.