

MEMORANDUM

TO: File No. S7-01-13

FROM: Dhawal Sharma

RE: Proposed Regulation Systems Compliance and Integrity

DATE: November 5, 2013

On October 29, 2013, staff from the Commission's Division of Trading and Markets (Jonathan Balcom, Jim Burns, Donna Chambers, Yue Ding, Amy Edwards, Sara Hawkins, Hans Heidle, David Liu, Constance Kiggins, Heidi Pilpel, John Polise, Keith Riley, Dhawal Sharma, David Shillman, and Michael Watson) participated in a meeting with representatives from NYSE Euronext (Paul Cassell, Marco Palomba, Joe Schifano, Sunil Seshadri, and Brendon Weiss). The participants discussed proposed Regulation Systems Compliance and Integrity.